

COMBINATORIAL STRUCTURES, LEFSCHETZ PROPERTY AND PARAMETRIZATIONS OF ALGEBRAS

ALEXANDRU CONSTANTINESCU

Dipartimento di Matematica, Università di Genova

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Tesi per il conseguimento del
Dottorato di Ricerca in Matematica e Applicazioni, ciclo XX

Supervisore: Prof. ALDO CONCA

THE BIG PICTURE

Three Problems

1. Problems regarding the Veronese algebras and modules of algebras with straightening laws.
2. Characterize the Hilbert function of algebras with m -times the Weak Lefschetz Property (WLP).
3. Parametrize ideals $I \subset k[x, y]$ with $\text{in}(I) = l_0$, when l_0 is the lex-segment ideal.
Do the same for homogeneous $I \subset k[x, y, z]$ with some extra assumptions.

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Question

Is the Veronese algebra of an ASL again an ASL?

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Preliminaries

Let k be a field and A a k -algebra. Let $P \subset A$ be a partially ordered set (poset).

We call a **monomial** a product of elements of P :

$$M = \alpha_1 \alpha_2 \dots \alpha_m, \quad \alpha_j \in P.$$

We say that M is a **standard monomial** if

$$\alpha_1 \leq \alpha_2 \leq \dots \leq \alpha_m.$$

We call such a weakly increasing sequence of elements of P a **m -multichain**.

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A k -algebra A is a (graded) algebra with straightening laws on P over k if:

(ASL 0) $A = \bigoplus_{i \geq 0} A_i$ is a graded k -algebra such that

$$A_0 = k,$$

P consists of homogeneous elements of positive degree and

P generates A as a k -algebra.

(ASL 1) The standard monomials are a basis of A as a k -vector space.

(ASL 2) (Straightening Laws)

If α and β are incomparable (written $\alpha \not\leq \beta$) and

$$\alpha\beta = \sum r_i \gamma_{i1} \gamma_{i2} \cdots \gamma_{it_i} \quad (1)$$

where $0 \neq r_i \in k$ and $\gamma_{i1} \leq \gamma_{i2} \leq \cdots \leq \gamma_{it_i}$

then $\gamma_{i1} < \alpha$ and $\gamma_{it_i} < \beta$ for every i .

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When $P \subset A_1$ we say that A is a **homogeneous** ASL over P .

An ASL on P for which $\alpha\beta = 0, \forall \alpha \not\sim \beta$ is called the **discrete ASL** on P .

A subset I of a poset P is called a **poset ideal** if:

$$\alpha \in I, \beta \in P \text{ and } \alpha \geq \beta, \text{ then } \beta \in I.$$

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We have the following generalization to modules, due to W. Bruns:

Definition

Let A be an ASL on P over k . An A -module M is called **module with straightening laws** on a finite poset $Q \subset M$ if the following conditions are satisfied:

(MSL 1) For every $x \in Q$ there exists a poset ideal $\mathcal{I}(x) \subset P$ such that the elements

$$\{m_i := a_i x, \quad \text{with } a_1 \in \mathcal{I}(x), \quad a_1 \leq a_2 \leq \dots \leq a_i, \quad i \geq 0,\}$$

form a basis of M as a k -vector space. These elements are called **standard elements**.

For every $y \in Q$ and $m = \sum_{i \in I} a_i y$ one has

$$m \in \sum_{i \in I} A y. \quad (2)$$

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Given a graded k -algebra $A = \bigoplus_{i \geq 0} A_i$, and $d \geq 2$ a natural number, the d -Veronese algebra of A is:

$$A^{(d)} = \bigoplus_{i \geq 0} A_{di}.$$

For every $d \geq 2$ and for every $0 \leq j \leq d - 1$ the j th Veronese module is

$$M_j^{(d)} = \bigoplus_{i \geq 0} A_{di+j}.$$

The module $M_j^{(d)}$ is obviously an $A^{(d)}$ module.

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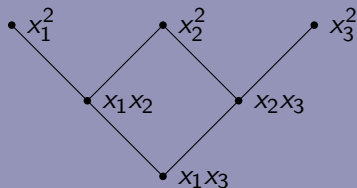
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$$(x_1x_2)(x_2x_3) = (x_1x_3)(x_2^2),$$

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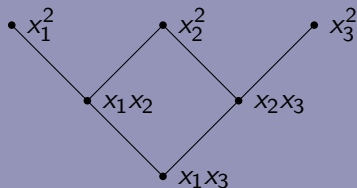
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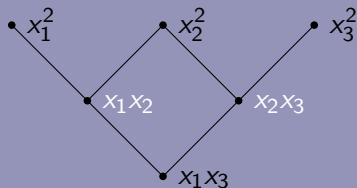
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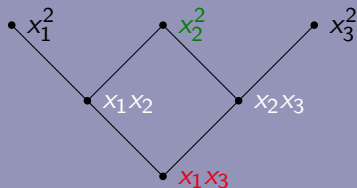
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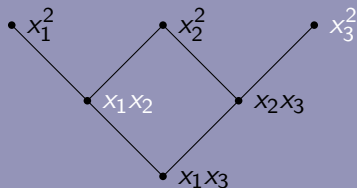
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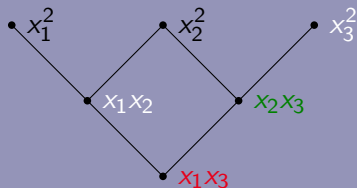
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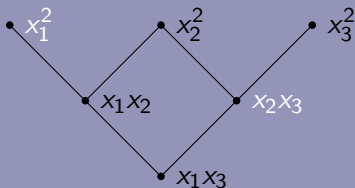
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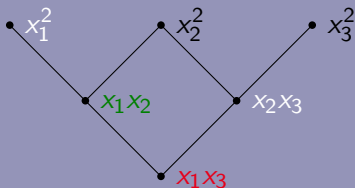
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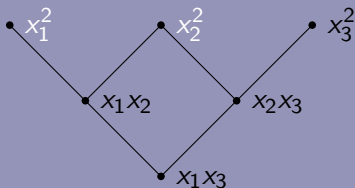
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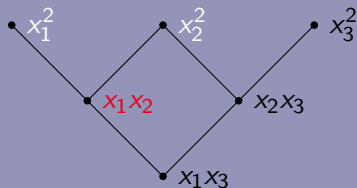
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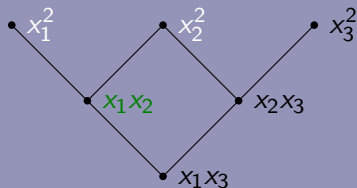
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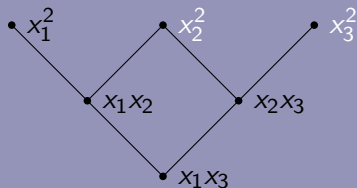
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STRAIGHTENING LAWS

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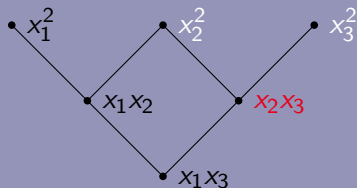
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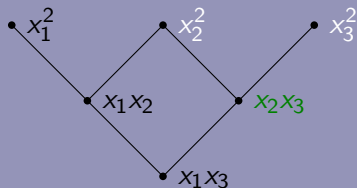
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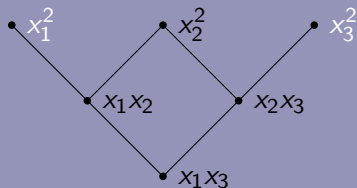
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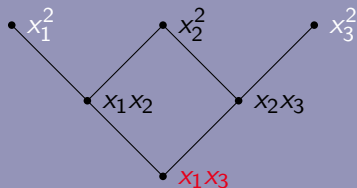
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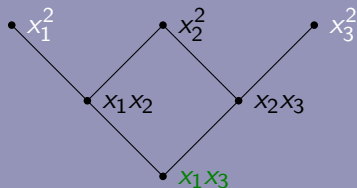
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Answer: We do not know (in general).

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STRAIGHTENING LAWS

The Polynomial Ring

$R = k[x_1, \dots, x_n]$ has an ASL structure: $x_1 \leq \dots \leq x_n$.

A. Conca proved that $R^{(d)}$ is still an ASL.

Take $\{l_{i,j}\}_{1 \leq i \leq n, 1 \leq j \leq d}$ generic linear forms such that

$\forall j_1, \dots, j_n \in \overline{1, d}$, $l_{1,j_1}, \dots, l_{n,j_n}$ are linearly independent.

$$H_n(d) := \{l_{s_1,1} \cdots l_{s_d,d} \mid \sum_{i=1}^d s_i \leq n - d + 1\}.$$

$$l_{s_1,1} \cdots l_{s_d,d} \leq l_{t_1,1} \cdots l_{t_d,d} \iff s_i \leq t_i, \forall i.$$

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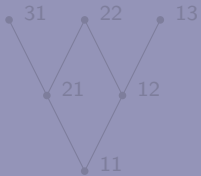
STRAIGHTENING LAWS

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Here is the Hasse diagram of this poset for $d \in \{1, 2, 3\}$ and $n = 3$.



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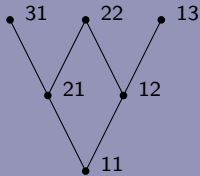
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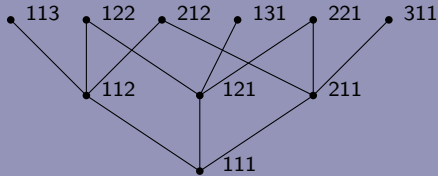
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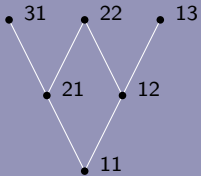
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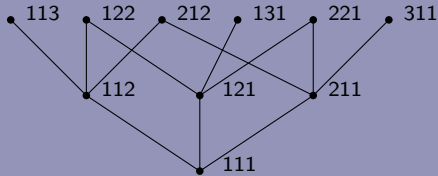
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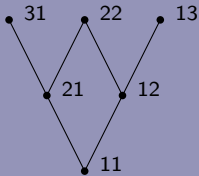
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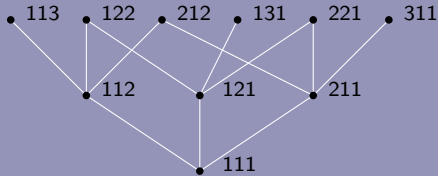
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The Veronese Modules

Let $R = k[x_1, \dots, x_n]$ be the polynomial ring in n variables and $\{l_{i,j}\}_{i=\overline{1,n}, j=\overline{1,d}}$ as above.

$$H_n(j) = \{l_{s_1,1} \cdots l_{s_j,j} \mid \sum_{i=1}^{i=j} s_i \leq n - j + 1\}.$$

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Theorem

For every $d \geq 2$ and for every $j \in \{0, \dots, d-1\}$, the j th Veronese module $M_j^{(d)}$ is a *homogenous MSL* on $H_n(j)$ over $R^{(d)}$ with the structure defined above.

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By a theorem of W. Bruns, J. Herzog and U. Vetter we have as a corollary the following result, which was proved by A. Aramova, S. Bărcănescu and J. Herzog:

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If A is an ASL on a poset P over k and A is integral then P has an unique minimal element.

The Krull dimension of A is equal to the rank of P .

The Hilbert function of a homogeneous ASL A on P can be computed directly from the poset P in the following way:

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1. If P has a unique minimal element, so should $P^{(d)}$.
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A construction that has properties 2.' and 3.' is the **Zig-Zag poset**.

Let $P = \{\alpha_1, \dots, \alpha_n\}$ be a poset. Given $d \geq 2$ a natural number, one can define:

$$Z_d(P) := \{(\alpha_1, \dots, \alpha_d) \mid \alpha_j \in P, \forall j \text{ and } \alpha_1 \leq \dots \leq \alpha_d\}$$

and say that:

$$(\alpha_1, \dots, \alpha_d) \leq (\beta_1, \dots, \beta_d) \iff \begin{array}{l} \alpha_1 \leq \beta_1, \\ \text{and } \alpha_2 \geq \beta_2, \\ \text{and } \alpha_3 \leq \beta_3, \\ \text{and } \alpha_4 \geq \beta_4, \\ \dots \text{ etc.} \end{array}$$

If α has a β element and γ then $\alpha \leq \gamma$ also holds.

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If P has a unique maximal element and $d = 2$ then 1.' also holds.

STRAIGHTENING LAWS

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Let L be a distributive lattice. The **Hibi ring** on L over k is:

$$A_{\text{Hibi}} = \frac{k[\alpha]_{\alpha \in L}}{I_{\text{Hibi}}}, \quad \text{where}$$

$$I_{\text{Hibi}} = (\alpha\beta - (\alpha \wedge \beta)(\alpha \vee \beta) \mid \forall \alpha \neq \beta).$$

Theorem

Let L be a distributive lattice and A_{Hibi} be the Hibi ring on L over k . Then $A_{\text{Hibi}}^{(2)}$ is an ASL over $\mathbb{Z}_2(L)$ with the following straightening laws:

$$(\alpha\gamma)(\beta\delta) = [(\alpha \wedge \gamma)(\beta \vee \delta)] [((\alpha \wedge \delta) \vee (\beta \wedge \gamma))((\alpha \vee \delta) \wedge (\beta \vee \gamma))], \quad (3)$$

$\forall \alpha, \beta, \gamma, \delta \in L$, with $\alpha \leq \beta$, $\gamma \leq \delta$ and $\alpha \wedge \delta \neq \beta$.

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If $\{\alpha, \beta, \gamma, \delta\}$ is totally ordered, but $(\alpha\beta) \not\leq (\gamma\delta)$, then (3) gives us:

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- ▶ Characterize the Hilbert function of algebras with the Lefschetz property m times.
- ▶ Give upper bounds for the Betti numbers of Artinian algebras with a given Hilbert Function and with the Lefschetz property m times and describe the cases in which these bounds are reached.

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The Weak and Strong Lefschetz Properties for Artinian K -algebras,
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Preliminaries

Let k be an infinite field, $\text{char}(k) = 0$.

$A = \bigoplus_{d \geq 0} A_d$ be a homogeneous, Artinian k -algebra, i.e.

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$$\times \ell : A_d \longrightarrow A_{d+1}$$

has maximal rank $\forall d \geq 1$.

ℓ is called a **weak Lefschetz Element (WLE)** for A .

A has m -times the WLP ($m \in \mathbb{N}$) if $\exists \ell_1, \dots, \ell_m \in A_1$ such that

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Let $h : 1 = h_0, h_1, \dots, h_s$ be a finite O-sequence.

Definition

h is a **weak Lefschetz O-sequence** if :

- h is unimodal i.e. $h_0 < h_1 < \dots < h_k \geq h_{k+1} \geq \dots \geq h_s$ for some $k \in 0, \dots, s$.
- the sequence h_0, h_1, \dots, h_k is again an O-sequence.

h is a **m -times weak Lefschetz O-sequence** if:

- h is unimodal.
- the sequence h_0, h_1, \dots, h_k is a $(m-1)$ -times weak Lefschetz O-sequence.

LEFSCHETZ PROPERTY

Preliminaries

Let $h : 1 = h_0, h_1, \dots, h_s$ be a finite O-sequence.

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LEFSCHETZ PROPERTY

Main result

Theorem

1. If A is an Artinian homogeneous k -algebra with m -times the WLP, then h_A is a m -times weak Lefschetz O -sequence.
2. For every m -times weak Lefschetz O -sequence h , there exists an Artinian homogeneous k -algebra with $h_A = h$.

1. is easy:

- The m -times WLP follows from the natural grading of the algebra:
if $\times \ell_1 : A \longrightarrow A$ is surjective, then $\times \ell_1 : A \longrightarrow A$ is surjective
- $\times \ell_1$ is surjective in an algebra with $(m-1)$ -times the WLP and

$$\times \ell_1 : A \longrightarrow A \longrightarrow \dots \longrightarrow A \longrightarrow A$$

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▶ A/ℓ_1 is an algebra with $(m-1)$ -times the WLP and

$$h_{A/\ell_1} : 1, h_1 = h_0, \dots, h_{i-1} = h_{i-1}.$$

LEFSCHETZ PROPERTY

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LEFSCHETZ PROPERTY

Main result

2. is not so easy....

LEFSCHETZ PROPERTY

The other results

Fix h a m -times weak Lefschetz O-sequence.

1. We construct inductively an ideal $\mathcal{W}_m(h)$ of R such that $R/\mathcal{W}_m(h)$ will be the algebra we are looking for.
2. If R/I is an Artinian k -algebra with Hilbert function h and m -times the WLP then:

$$h_i(R/I) \leq h_i(R/\mathcal{W}_m(h)), \quad \forall i, j \geq 0. \quad (4)$$

LEFSCHETZ PROPERTY

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LEFSCHETZ PROPERTY

The other results

3. Let $I \subset R$ be an ideal such that R/I has Hilbert function h and m -times the weak Lefschetz property ($m \in \mathbb{N}$). T.F.A.E.:
- (a) R/I has maximal Betti numbers among k -algebras with the above properties.
 - (b) I is componentwise linear and the ideal $\rho_{n-m}(\text{Gin}(I))$ is Gotzmann in $k[x_1, \dots, x_{n-m}]$.

where: $\rho_i : k[x_1, \dots, x_n] \longrightarrow k[x_1, \dots, x_i]$, with:

$$\rho_i(x_j) = \begin{cases} x_j & \text{if } j \leq i \\ 0 & \text{if } j > i. \end{cases}$$

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LEFSCHETZ PROPERTY

The other results

4. Let R/I be as above. If $\exists q \in \mathbb{N}$ such that:

$$\beta_q(R/I) = \beta_q(R/\mathcal{W}_m(h))$$

then:

$$\beta_i(R/I) = \beta_i(R/\mathcal{W}_m(h)), \text{ for all } i \geq q.$$

5. Construct, starting from $\mathcal{W}_m(h)$ and using a distraction matrix, another ideal I with :
- the same Hilbert function
 - the same
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LEFSCHETZ PROPERTY

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LEFSCHETZ PROPERTY

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LEFSCHETZ PROPERTY

Sketch of the proof

Fix $h : 1 = h_0 < h_1 < \dots < h_k \geq h_{k+1} \geq \dots \geq h_s$ a WLO.

Denote by $\Delta h := 1, h_1 - h_0, \dots, h_k - h_{k-1}$.

Set $n = h_1$ and define:

$$l_0 := \text{Lex}(\Delta h) \subset R' = k[x_1, \dots, x_{n-1}].$$

$$l_1 := l_0 \cdot R \subset R.$$

It is easy to see that:

the Hilbert function of R/h_1 is:

$$1 = h_0, h_1, \dots, h_{k-2}, h_{k-1}, h_k, \dots, h_{k+1}, \dots$$

$$(x_1, \dots, x_{n-1})^{k+1} \subseteq h_1.$$

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the Hilbert function of R/I_1 is:

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LEFSCHETZ PROPERTY

Sketch of the proof

Let $d_0 > k$ be the smallest degree for which $h_k > h_{d_0}$ and denote by $r_0 := h_k - h_{d_0}$.

Take $M_1, \dots, M_{r_0} \in R$, the **largest in rev-lex order** r_0 monomials of degree d_0 NOT in I_1 .

Define:

$$I_2 := I_1 + (M_1, \dots, M_{r_0}).$$

The Hilbert function of R/I_2 will be:

$$1 = h_0, h_1, \dots, h_{d_0-1}, h_{d_0}, h_{d_0}, \dots, h_{d_0}, \dots$$

Proceed in the same way with I_2 , and so on.

In the general case start with $I = I_m \subset R'$ and follow the same steps as in the case $m = 1$.

LEFSCHETZ PROPERTY

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LEFSCHETZ PROPERTY

Example

$$h: 1, 4, 7, 8, 6, 3, 1, 0. \quad \Delta h: 1, 3, 3, 1.$$

$$R = k[x, y, z, t].$$

$$l_0 := \text{Lex}(\Delta h) = (x^2, xy, xz, y^3, y^2z, yz^2, z^4) \subset k[x, y, z].$$

$$I := l_0 \cdot R.$$

For every $d \geq 4$, the monomials of degree d in $R \setminus I$ are:

$$z^3 t^{d-3}, y^2 t^{d-2}, yz t^{d-2}, z^2 t^{d-2}, x t^{d-1}, y t^{d-1}, z t^{d-1}, t^d$$

Add to h_1 :

$$d = 4 : \quad \quad \quad yz t^2 \quad z^2 t^2 \quad x t^3 \quad y t^3 \quad z t^3 \quad t^4$$

$$d = 5 : \quad x^2 t^2 \quad y^2 t^3 \quad \quad \quad y t^4 \quad z t^4 \quad t^5$$

$$d = 6 : \quad x^3 t^3 \quad y^2 t^4 \quad yz t^4 \quad z^2 t^4 \quad x t^5 \quad \quad \quad t^6$$

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Add to l_1 :

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PARAMETRIZATIONS

Introduction

For a field k of any characteristic, a monomial ideal $I_0 \subset k[x_1, \dots, x_n]$ and any term order τ , the set

$$V_h(I_0) = \{I \subset k[x_1, \dots, x_n] \mid I \text{ homogeneous, with } \text{in}_\tau(I) = I_0\}$$

has a natural structure of affine variety.

If we have that $\dim_k(k[x_1, \dots, x_n]/I_0) < \infty$, also

$$V(I_0) := \{I \subset k[x_1, \dots, x_n] \mid \text{in}_\tau(I) = I_0\}$$

has a structure of affine variety.

\mathbb{A}^n : parametrize the affine variety $V(I_0)$, when:

x_i is a monomial, τ of $R = k[x, y]$,

I_0 is the τ -initial ideal of I (DRL) term order,

and $\dim_k(k[x, y]/I) < \infty$.

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Main goal: parametrize the affine variety $V(I_0)$, when:

- I_0 is a monomial, lex-segment ideal of $R = k[x, y]$,
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For a field k of any characteristic, a monomial ideal $l_0 \subset k[x_1, \dots, x_n]$ and any term order τ , the set

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Some results in this direction

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The parametrization that we will find associates to each ideal $I \in V(I_0)$ a canonical **Hilbert-Burch** matrix. That is we associate to an ideal I a matrix such that:

- The **maximal minors** generate the ideal I .
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We will also parametrize $V_h(J_0)$ when $J_0 \subset k[x, y, z]$, with:

$$J_0 = I_0 k[x, y, z] \text{ and}$$

I_0 is a monomial, lex-segment ideal of $k[x, y]$.

Let $S = k[x, y, z]$, fix $H = \frac{h(t)}{1-t}$ a Hilbert series and denote by

$$G(H) = \{I \subset S \mid H_{S/I} = H, I \text{ is an ideal of points of } \mathbb{P}^2\}.$$

In characteristic 0, this parametrization will allow us to study the
of the affine set:

$$G_{\text{Lex}}^*(H) = \{I \in G^*(H) \mid \text{in}(I) = \text{Lex}(h)S\}.$$

When $\text{char}(k) = 0$, the set $G_{\text{Lex}}^*(H)$ is a Zariski closed subset of the
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Define $d_i := m_i - m_{i-1}$ for all $i \in \{1, \dots, t\}$.

Now define the following $(t+1) \times (t+1)$ matrix:

$$X = \begin{pmatrix} y^{d_1} & 0 & \dots & 0 \\ -x & y^{d_2} & \dots & 0 \\ 0 & -x & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & y^{d_t} \\ 0 & 0 & \dots & -x \end{pmatrix}$$

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Let A be another $(t+1) \times t$ matrix, with entries in the polynomial ring in one variable $k[y]$, with the following property:

$$\deg(a_{ij}) \leq \begin{cases} \text{Min}\{i - j + m_j - m_{i-1} - 1, & d_i - 1\} & \text{if } i \leq j, \\ \text{Min}\{i - j + m_j - m_{i-1}, & d_j - 1\} & \text{if } i > j. \end{cases}$$

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Let $\psi : \mathcal{A}_{I_0} \longrightarrow V(I_0)$ be the application defined by:

$$\psi(A) := I_t(X + A),$$

where by $I_t(X + A)$ is the ideal generated by t -minors of the matrix $X + A$.

Theorem

Let $I_0 \subset R = k[x, y]$ be a monomial lex-segment ideal with $\dim_k(R/I_0) < \infty$.

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Example

Let $I \subset k[x, y]$ be the ideal generated by the following polynomials:

$$f_0 = x^3 - 2x^2y + y^3 - xy + y^2 - x - 3,$$

$$f_1 = x^2y^2 - xy^3 - y^4 - x^2 + xy + y^2 + 1,$$

$$f_2 = xy^3 - y^4 + xy^2 - xy + 4y^2 - y - 3,$$

$$f_3 = y^5 + x^2y^2 + 3xy^2 - y^3 - xy - 3x - y,$$

So

$$I = (x^3 - 2x^2y + y^3, x^2y^2 - xy^3 - y^4, xy^3 - y^4, y^5).$$

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$$l_0 = (x^3y^0, x^2y^2, xy^3, y^5).$$

$$m\text{'s} : 0, 2, 3, 5.$$

$$: 2, 1, 2.$$

PARAMETRIZATIONS

Example

Let $I \subset k[x, y]$ be the ideal generated by the following polynomials:

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PARAMETRIZATIONS

Example

- 2nd Check that no monomial in the support of f_i is divisible by x^d , with $d \geq t = 3$, (except for $\text{in}(f_0)$). OK.
- 3rd Find a Hilbert-Burch matrix for I corresponding to this Gröbner basis.

To do this we divide the S -polynomials $S_{1,0}, S_{2,1}$ and $S_{3,2}$ by $\{f_0, f_1, f_2, f_3\}$. We obtain:

$$\begin{pmatrix} y^2 - 1 & 0 & y^2 \\ -x + y & y & y + 3 \\ 1 & -x & y^2 + 1 \\ 0 & 1 & -x + y \end{pmatrix}$$

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$$X + A'$$

PARAMETRIZATIONS

Example

The degrees of the matrix in \mathcal{A}_{I_0} are bounded by:

$$\begin{pmatrix} 1 & 1 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 1 \\ 0 & 0 & 1 \end{pmatrix} A' = \begin{pmatrix} -1 & 0 & y^2 \\ y & 0 & y+3 \\ 1 & 0 & 1 \\ 0 & 1 & y \end{pmatrix}$$

To obtain a matrix in \mathcal{A}_{I_0} we operate on the Hilbert-Burch matrix $X + A'$. We will use some \mathcal{A}_{I_0} matrices, which are a sequence of two elementary operations on $X + A'$.

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PARAMETRIZATIONS

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$$\begin{pmatrix} \blacksquare & \blacksquare & \color{red}\blacksquare \\ -x & \blacksquare & \color{red}\blacksquare \\ \blacksquare & -x & \blacksquare \\ \blacksquare & \blacksquare & -x \end{pmatrix}$$

$$\begin{pmatrix} y^2 - 1 & 0 & y^2 \\ -x + y & y & y + 3 \\ 1 & -x & y^2 + 1 \\ 0 & 1 & -x + y \end{pmatrix}$$

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$$\left(\begin{array}{ccc} \blacksquare & \blacksquare & \blacksquare \\ -x & \blacksquare & \blacksquare \\ \blacksquare & -x & \blacksquare \\ \blacksquare & \blacksquare & -x \end{array} \right)$$

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So finally we obtain a "canonical" Hilbert-Burch matrix:

$$X + A = \begin{pmatrix} y^2 - 1 & 0 & 1 \\ -x + y & y + 1 & 2 \\ 1 & -x + 1 & y^2 + y - 1 \\ 0 & 1 & -x + y - 1 \end{pmatrix} \in \mathcal{A}_{/0}.$$

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