

KALAI'S CONJECTURE AND BEYOND

ALEXANDRU CONSTANTINESCU

Université de Neuchâtel

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RTG Workshop - U.C. Berkeley

C-, M. Varbaro

On the h -vectors of Cohen-Macaulay Flag Complexes,

<http://arxiv.org/abs/1004.0170> (to appear in *Mathematica Scandinavica*)

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D. Cook II, U. Nagel,

Cohen-Macaulay Graphs and Face Vectors of Flag Complexes,

SIAM J. Discrete Math. **26**, no. 1, 89–101 (2012).

Introduction

$\left\{ \text{\textit{h-vectors of CM standard graded } \mathbb{K}\text{-algebras} } \right\} \left\{ \text{\textit{f-vectors of simplicial complexes} } \right\}$

CM = Cohen-Macaulay

Introduction

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characterized by **Macaulay**

CM = Cohen-Macaulay

Introduction

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characterized by **Macaulay**

characterized by **Schützenberger,
Kruskal and Katona**

CM = Cohen-Macaulay

Introduction

$$\left\{ \begin{array}{l} h\text{-vectors of CM standard graded } \mathbb{K}\text{-algebras} \\ \text{with extra properties} \end{array} \right\} \left\{ \begin{array}{l} f\text{-vectors of simplicial complexes} \\ \text{with extra properties} \end{array} \right\}$$

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Introduction

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CM = Cohen-Macaulay

Introduction

Conjecture 1 (Kalai)

$$\left\{ h\text{-vectors of CM flag simplicial complexes} \right\} \subset \left\{ f\text{-vectors of simplicial complexes} \right\}$$

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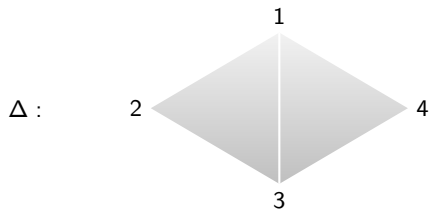
\Uparrow

Conjecture (Eisenbud, Green, Harris)

$$\left\{ h\text{-vectors of quadratic artinian } \mathbb{K}\text{-algebras} \right\} \subset \left\{ f\text{-vectors of simplicial complexes} \right\}$$

CM = Cohen-Macaulay

f -vectors and h -vectors

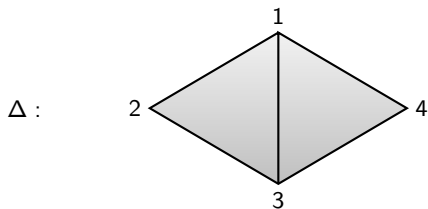


$\{1,2,3\}, \{1,3,4\}$

faces

Simplicial complex = a collection of subsets of a given set,
closed under taking subsets.

f -vectors and h -vectors

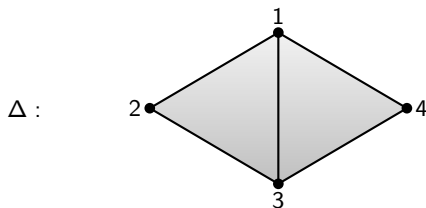


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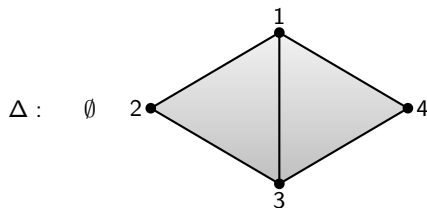


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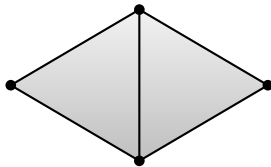


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f-vectors and *h*-vectors

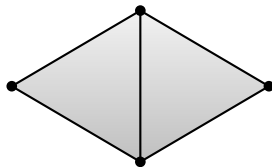
$\Delta : \emptyset$



$f(\Delta) =$

f -vectors and h -vectors

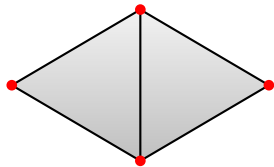
$\Delta : \emptyset$



$$f(\Delta) = (1,$$

f -vectors and h -vectors

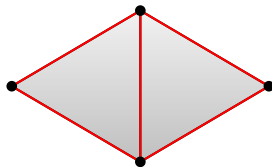
Δ :



$$f(\Delta) = (1, 4,$$

f -vectors and h -vectors

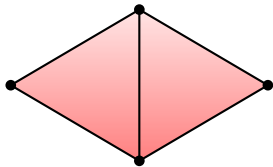
Δ :



$$f(\Delta) = (1, 4, 5,$$

f -vectors and h -vectors

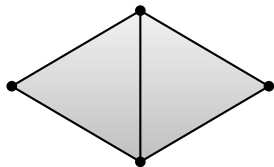
Δ :



$$f(\Delta) = (1, 4, 5, 2)$$

f -vectors and h -vectors

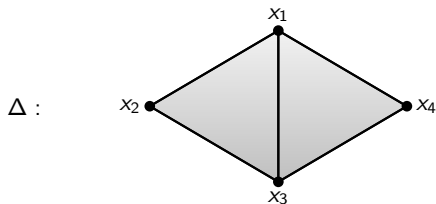
Δ :



$$f(\Delta) = (1, 4, 5, 2)$$

$$h(\Delta) =$$

f -vectors and h -vectors

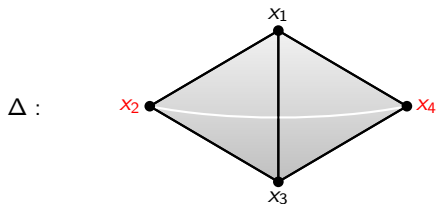


$$f(\Delta) = (1, 4, 5, 2)$$

$$h(\Delta) =$$

$$R = \mathbb{K}[x_1, x_2, x_3, x_4] \supset I_\Delta$$

f -vectors and h -vectors

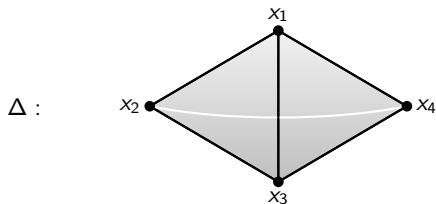


$$f(\Delta) = (1, 4, 5, 2)$$

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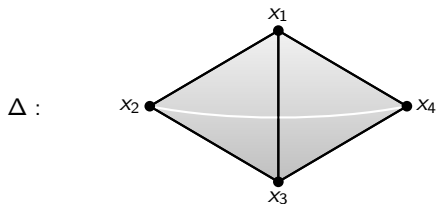


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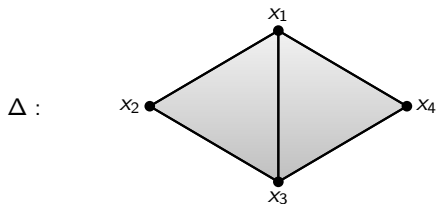


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$$R = \mathbb{K}[x_1, x_2, x_3, x_4] \supset I_{\Delta} = (x_2 x_4) \quad HS_{R/I}(t) = \frac{1+t}{(1-t)^2}$$

f -vectors and h -vectors

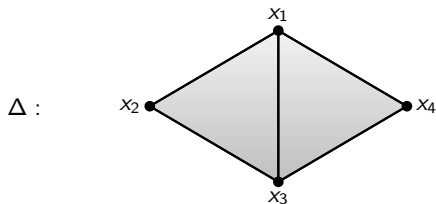


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f -vectors and h -vectors

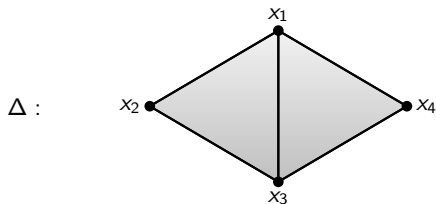


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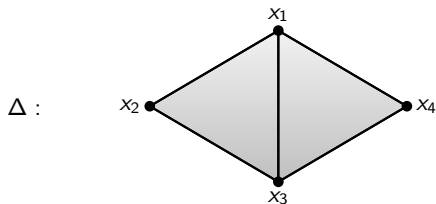


$$f(\Delta) = (1, 4, 5, 2)$$

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$$h_i = \sum_{j=0}^i (-1)^{i-j} \binom{d-i}{i-j} f_{j-1}$$

f -vectors and h -vectors

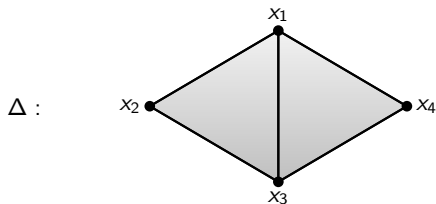


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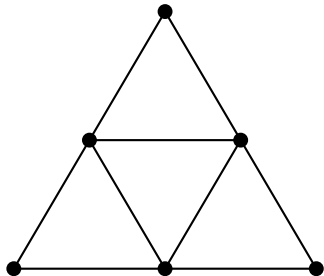


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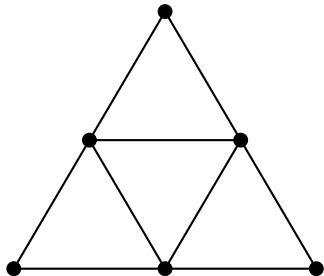
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balanced & flag

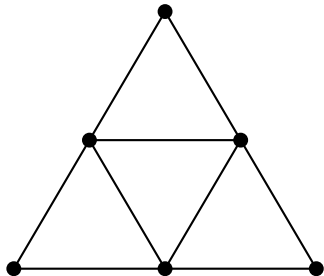


balanced & flag



Δ is **balanced** if its vertices can be colored in a “minimal” way.

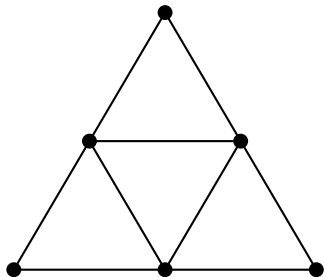
balanced & flag



Δ is balanced if its vertices can be colored in a “minimal” way.

- no face contains two vertices of the same color

balanced & flag

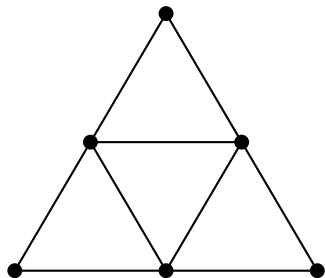


Δ is balanced if its vertices can be colored in a “minimal” way.

- no face contains two vertices of the same color
- using *dimension* +1 colors

$$\text{dimension of } \Delta = \max\{|F| - 1 : F \in \Delta\}$$

balanced & flag



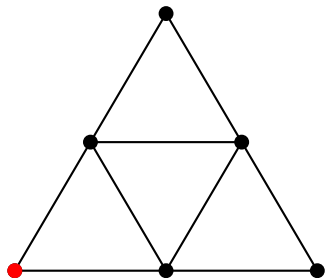
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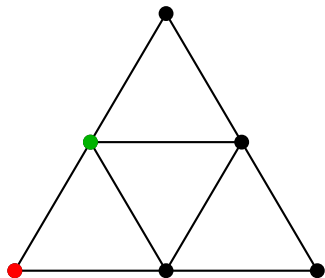
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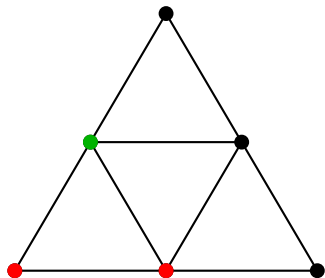
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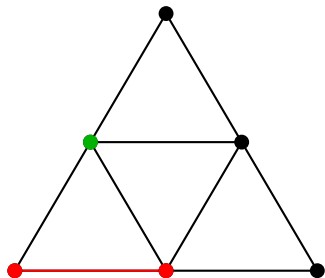
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balanced & flag



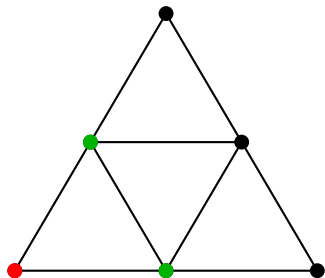
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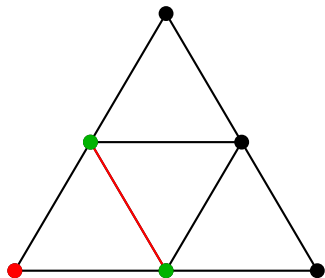
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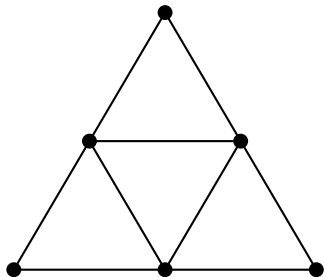
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balanced & flag



$\text{dimension} + 1 = 2$

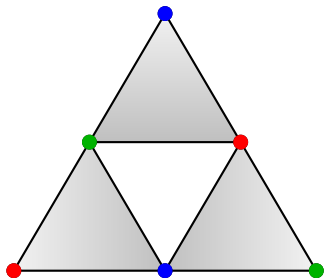
Δ is **not** balanced

Δ is balanced if its vertices can be colored in a “minimal” way.

- no face contains two vertices of the same color
- using $\text{dimension} + 1$ colors

$$\text{dimension of } \Delta = \max\{|F| - 1 : F \in \Delta\}$$

balanced & flag



$\text{dimension} + 1 = 3$

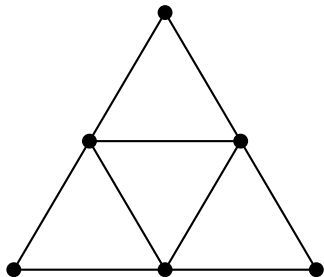
Δ is balanced

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balanced & flag

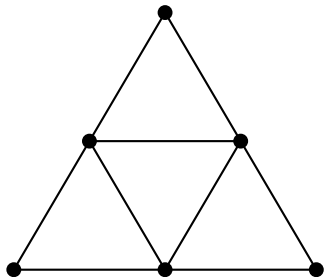


Δ is balanced if its vertices can be colored in a “minimal” way.

Δ is **flag** if all minimal non-faces have cardinality two.

$$\text{dimension of } \Delta = \max\{|F| - 1 : F \in \Delta\}$$

balanced & flag



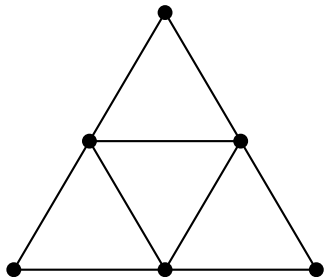
Δ is balanced if its vertices can be colored in a “minimal” way.

Δ is flag if all minimal non-faces have cardinality two.

- all triangles, tetrahedra, etc. are “filled”

$$\text{dimension of } \Delta = \max\{|F| - 1 : F \in \Delta\}$$

balanced & flag



Δ is **not** flag

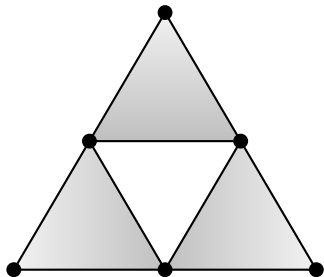
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balanced & flag



Δ is **not** flag

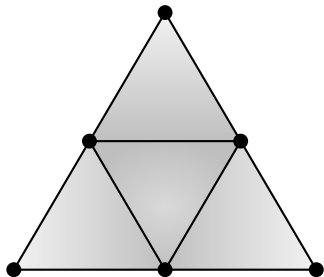
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balanced & flag



Δ is flag

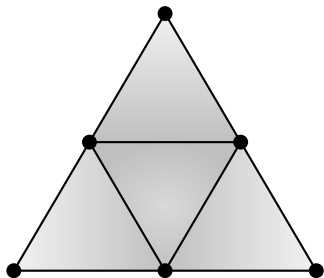
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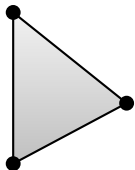
Remark If Δ is *flag* then I_Δ is generated by *quadrics*.

$$\text{dimension of } \Delta = \max\{|F| - 1 : F \in \Delta\}$$

vertex decomposable

Δ is **vertex decomposable** if

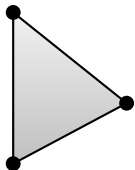
vertex decomposable



Δ is vertex decomposable if

Δ is a **simplex**

vertex decomposable

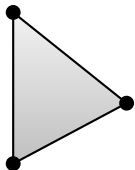


Δ is vertex decomposable if

Δ is a simplex

or

vertex decomposable



Δ is vertex decomposable if

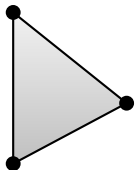
Δ is a simplex

or

there exists a vertex $v \in \Delta$ such that

(a) $\Delta \setminus v$ and $\text{link}_{\Delta} v$ are vertex decomposable

vertex decomposable



Δ is vertex decomposable if

Δ is a simplex

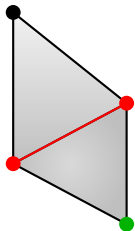
or

there exists a vertex $v \in \Delta$ such that

- (a) $\Delta \setminus v$ and $\text{link}_{\Delta} v$ are vertex decomposable
- (b) no facet of $\text{link}_{\Delta} v$ is a facet of $\Delta \setminus v$

facet = a face which is maximal with respect to inclusion.

vertex decomposable



Δ is vertex decomposable if

Δ is a simplex

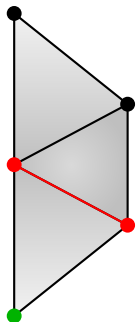
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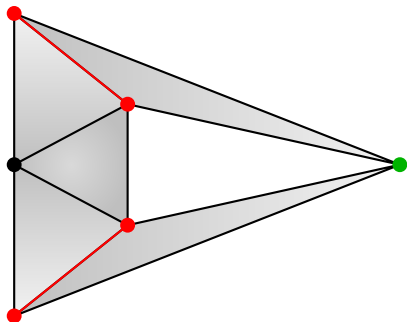
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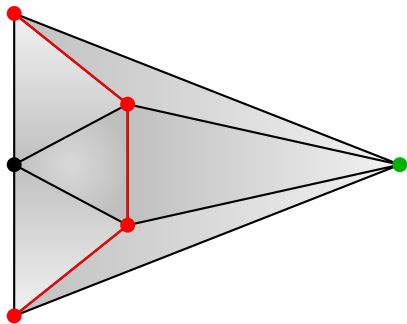
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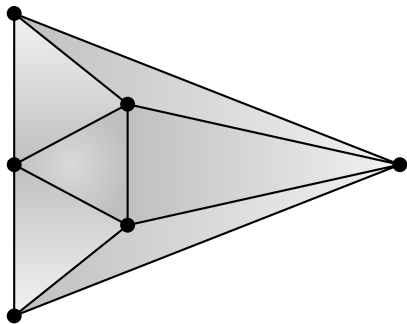
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facet = a face which is maximal with respect to inclusion.

vertex decomposable



Δ is vertex decomposable if

Δ is a simplex

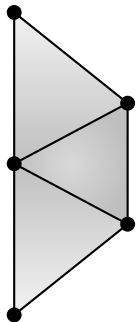
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there exists a vertex $v \in \Delta$ such that

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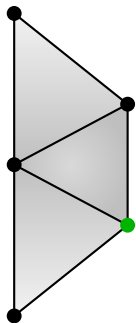
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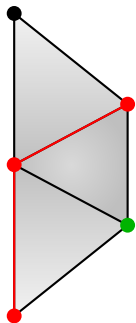
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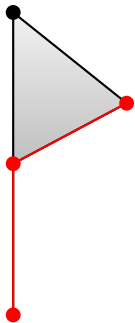
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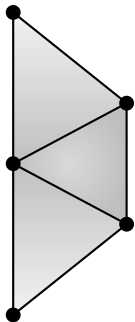
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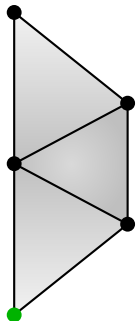
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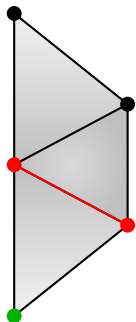
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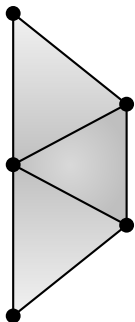
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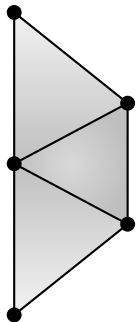
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Δ is **vertex decomposable** $\Rightarrow R/I_\Delta$ is **CM**.

facet = a face which is maximal with respect to inclusion.

vertex decomposable



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or

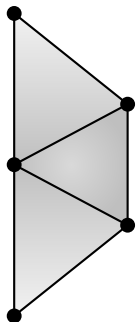
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Δ is **vertex decomposable** $\Rightarrow R/I_\Delta$ is CM. (in this case we say that Δ is **CM**)

facet = a face which is maximal with respect to inclusion.

vertex decomposable



Δ is vertex decomposable if

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there exists a vertex $v \in \Delta$ such that

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Δ is **vertex decomposable** \Rightarrow Δ is **shellable** \Rightarrow Δ is **CM**

facet = a face which is maximal with respect to inclusion.

First result

Conjecture 1 (Kalai)

$\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}$.

First result

Theorem 1 (C-, Varbaro)

$\{h\text{-vectors of vertex decomposable flag s.c.}\} \subset \{f\text{-vectors of simplicial complexes}\}$.

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}$.

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Theorem 1 (C-, Varbaro)

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Idea of Proof:

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- Use induction: $h(\Delta \setminus v) = f(\Gamma_1)$ and $h(\text{link}_\Delta v) = f(\Gamma_2)$.

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- Construct $\Gamma = \Gamma_1 *_{\Gamma_2} u$.

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- Prove that we may take $\Gamma_2 \subset \Gamma_1$ (hardest part).
- Construct $\Gamma = \Gamma_1 *_{\Gamma_2} u$.
- Using recursive formulas for the h -vectors and f -vectors conclude that

$$h(\Delta) = f(\Gamma).$$

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}.$

f -vectors of flag simplicial complexes

Remark

$\{f\text{-vectors of flag s.c.}\} = \{h\text{-vectors of quadratic monomial artinian algebras}\}.$

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}.$

f -vectors of flag simplicial complexes

Remark

$\{f\text{-vectors of flag s.c.}\} = \{h\text{-vectors of quadratic monomial artinian algebras}\}.$

$$f(\Gamma) = h(R/I_{\Gamma} + (x_1^2, \dots, x_n^2)).$$

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$$f(\Gamma) = h(S/I_\Gamma + (x_1y_1, \dots, x_ny_n))$$

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with $\Delta =$ **vertex decomposable**,

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$$f(\Gamma) = h(S/I_{\Delta})$$

with $\Delta =$ vertex decomposable, **flag**

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Proposition

$\{f\text{-vectors of flag s.c.}\} \subseteq \{h\text{-vectors of vertex decomposable balanced flag s.c.}\}.$

$$f(\Gamma) = h(S/I_{\Delta})$$

with Δ = vertex decomposable, flag and **balanced**.

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}.$

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Conjecture 1 (C-, Varbaro)

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f -vectors of flag simplicial complexes

Definition

Δ is **quasi-flag** if

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}$.

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Δ is **quasi-flag** if $\Delta = \{\emptyset\}$ or if there exists $v \in \Delta$ such that

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Kalai's conjecture: $\{\text{h-vectors of CM flag simplicial complexes}\} \subset \{\text{f-vectors of simplicial complexes}\}$.

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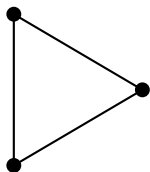
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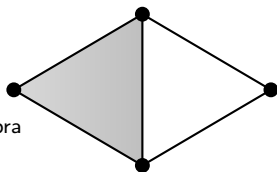
- $\text{flag} \Rightarrow \text{quasi-flag}$
- $\{\textit{f-vectors of s.c.}\} \not\subseteq \{\textit{f-vectors of quasi-flag s.c.}\}$.



(1, 3, 3)

(1, 4, 5, 1)

Neither the f -vector of a quasi-flag complex
Nor the h -vector of a quadratic artinian algebra



Kalai's conjecture: $\{\textit{h-vectors of CM flag simplicial complexes}\} \subset \{\textit{f-vectors of simplicial complexes}\}$.

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We are not aware of any example of quasi-flag simplicial complex whose f -vector is not that of a flag complex.

$$\{\textit{f-vectors of quasi-flag complexes}\} \supseteq \{\textit{f-vectors of flag complexes}\}.$$

Kalai's conjecture: $\{\textit{h-vectors of CM flag simplicial complexes}\} \subset \{\textit{f-vectors of simplicial complexes}\}$.

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f -vectors of flag simplicial complexes

Theorem 2 (C-, Varbaro)

$\{h\text{-vectors of vertex decomposable balanced flag s.c.}\} \subseteq \{f\text{-vectors of quasi-flag s.c.}\}$.

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Theorem 3 (C-, Varbaro)

$$\left\{ \begin{array}{l} h\text{-vectors of } (d-1)\text{-dimensional} \\ \text{vertex decomposable balanced flag} \\ \text{simplicial complexes on } 2d \text{ vertices} \end{array} \right\} = \left\{ \begin{array}{l} f\text{-vectors of flag} \\ \text{simplicial complexes} \\ \text{on } d \text{ vertices} \end{array} \right\}.$$

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If Δ is flag, then $I_\Delta = I(G_\Delta)$, the edge ideal of a graph G_Δ .

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If Δ is flag, then $I_\Delta = I(G_\Delta)$, the edge ideal of a graph G_Δ .

Δ $(d-1)$ -dimensional on $2d$ vertices $\Leftarrow G_\Delta$ is bipartite.

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A natural generalization

Conjecture 2 (C-, Varbaro)

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Conjecture 2 (Kalai)

$$\{f\text{-vectors of CM flag s.c.}\} \subseteq \{f\text{-vectors of CM balanced s.c.}\}.$$

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}$.

Our 1st conjecture: $\{h\text{-vectors of vertex decomposable balanced flag s.c.}\} = \{f\text{-vectors of flag s.c.}\}$.

A natural generalization

Conjecture 2 (C-, Varbaro)

$$\{h\text{-vectors of CM flag s.c.}\} = \{f\text{-vectors of flag s.c.}\}.$$



Conjecture 2 (Kalai)

$$\{f\text{-vectors of CM flag s.c.}\} \subseteq \{f\text{-vectors of CM balanced s.c.}\}.$$

$$h(\text{CM flag}) = f(\text{flag})$$

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}.$

Our 1st conjecture: $\{h\text{-vectors of vertex decomposable balanced flag s.c.}\} = \{f\text{-vectors of flag s.c.}\}.$

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$$\begin{aligned} h(\text{CM flag}) &= f(\text{flag}) \\ &= f(\text{balanced}) \text{ by Frohmader} \end{aligned}$$

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$$h(\text{CM flag}) = f(\text{flag})$$

$$= f(\text{balanced}) \text{ by Frohmader}$$

$$= h(\text{CM balanced, of smaller dimension}) \text{ by Björner, Frankl and Stanley}$$

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$$\begin{aligned} h(\text{CM flag}) &= f(\text{flag}) \\ &= f(\text{balanced}) \text{ by Frohmader} \\ &= h(\text{CM balanced, of smaller dimension}) \text{ by Björner, Frankl and Stanley} \\ &= h(\text{CM balanced of the same dimension}) \text{ adding cone points} \end{aligned}$$

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}.$

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$$\{f\text{-vectors of CM flag s.c.}\} \subseteq \{f\text{-vectors of CM balanced s.c.}\}.$$

$$h(\text{CM flag}) = f(\text{flag})$$

$$= f(\text{balanced}) \text{ by Frohmader}$$

$$= h(\text{CM balanced, of smaller dimension}) \text{ by Björner, Frankl and Stanley}$$

$$= h(\text{CM balanced of the same dimension}) \text{ adding cone points}$$

$$\Rightarrow f(\text{CM flag}) = f(\text{CM balanced}).$$

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Conjecture

$$\{h\text{-vectors of CM flag s.c.}\} \subseteq \{h\text{-vectors of CM balanced s.c.}\}.$$

Because

$$h_i = \sum_{j=0}^i (-1)^{i-j} \binom{d-i}{i-j} f_{j-1}.$$

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}.$

Our 1st conjecture: $\{h\text{-vectors of vertex decomposable balanced flag s.c.}\} = \{f\text{-vectors of flag s.c.}\}.$

A natural generalization

Conjecture 2 (C-, Varbaro)

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Conjecture 2 (Kalai)

$$\{f\text{-vectors of CM flag s.c.}\} \subseteq \{f\text{-vectors of CM balanced s.c.}\}.$$



Conjecture

$$\{h\text{-vectors of CM flag s.c.}\} \subseteq \{h\text{-vectors of CM balanced s.c.}\}.$$

By a theorem of Björner, Frankl and Stanley we have:

$$\{h\text{-vectors of CM balanced s.c.}\} \subseteq \{f\text{-vectors of s.c.}\}.$$

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}.$

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Conjecture 2 (Kalai)

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Conjecture 1 (Kalai)

$$\{h\text{-vectors of CM flag s.c.}\} \subset \{f\text{-vectors of s.c.}\}.$$

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}.$

Our 1st conjecture: $\{h\text{-vectors of vertex decomposable balanced flag s.c.}\} = \{f\text{-vectors of flag s.c.}\}.$

A natural generalization

Conjecture 2 (C-, Varbaro)

$$\{h\text{-vectors of CM flag s.c.}\} = \{f\text{-vectors of flag s.c.}\}.$$



Theorem 4 (C-, Varbaro)

$$\left\{ \begin{array}{l} h\text{-vectors of } (d-1)\text{-dimensional} \\ \text{CM flag s.c. on } 2d \text{ vertices} \\ \text{without cone points} \end{array} \right\} = \left\{ \begin{array}{l} f\text{-vectors of flag} \\ \text{simplicial complexes} \\ \text{on } d \text{ vertices} \end{array} \right\}.$$

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}.$

Our 1st conjecture: $\{h\text{-vectors of vertex decomposable balanced flag s.c.}\} = \{f\text{-vectors of flag s.c.}\}.$

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Theorem 4 (C-, Varbaro)

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This is the “first” case of our Conjecture 2 in the following sense:

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}.$

Our 1st conjecture: $\{h\text{-vectors of vertex decomposable balanced flag s.c.}\} = \{f\text{-vectors of flag s.c.}\}.$

A natural generalization

Conjecture 2 (C-, Varbaro)

$$\{h\text{-vectors of CM flag s.c.}\} = \{f\text{-vectors of flag s.c.}\}.$$



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This is the “first” case of our Conjecture 2 in the following sense:

Remark

If Δ is $(d-1)$ -dimensional, flag, on n vertices and without cone points, then

$$n \geq 2d.$$

Kalai's conjecture: $\{h\text{-vectors of CM flag simplicial complexes}\} \subset \{f\text{-vectors of simplicial complexes}\}.$

Our 1st conjecture: $\{h\text{-vectors of vertex decomposable balanced flag s.c.}\} = \{f\text{-vectors of flag s.c.}\}.$

Thank you!